Amendments to the Claims:

The following Listing of Claims will replace all prior versions, and listings, of claims in the application:

Listing of Claims

- 1. (currently amended) A microresonator device, comprising:
 - a first substrate having at least one self-aligning feature on a surface;
 - a first waveguide disposed relative to the first substrate; and
- a microresonator positioned on the substrate by the self-aligning feature so as to optically couple to the first waveguide in a coupling region, the first waveguide having a larger cladding index on the coupling region side than on an opposite side.
- 2. (original) A device as recited in claim 1, wherein the self-aligning feature is a receiving cavity on the surface of the first substrate.
- 3. (original) A device as recited in claim 1, wherein the self-aligning feature is a slot on the first substrate, wherein the microresonator is positioned at a location along the slot.
- 4. (original) A device as recited in claim 3, wherein the first waveguide is positioned in the slot.
- 5. (original) A device as recited in claim 3, wherein the microresonator contacts a slot edge, the slot edge being nonparallel with the first waveguide.
- 6. (original) A device as recited in claim 3, wherein the microresonator contacts a slot edge, the slot edge being parallel with the first waveguide.
- 7. (original) A device as recited in claim 3, wherein the slot has a first edge and a second edge closer to the first waveguide than the first edge, the microresonator being aligned by the first edge of the slot and the first waveguide.

Application No.: 10/685049 Case No.: 58391US002

8. (original) A device as recited in claim 3, wherein the slot has a first edge and a second edge closer to the first waveguide than the first edge, the microresonator being aligned by the first edge of the slot and the second edge of the slot.

- 9. (original) A device as recited in claim 1, wherein the first waveguide is disposed on the substrate, the first waveguide being unsupported by the substrate at a coupling region of the waveguide.
- 10. (original) A device as recited in claim 1, wherein a direction of optical coupling between the first waveguide and the microresonator is parallel to the surface of the first substrate.
- 11. (original) A device as recited in claim 1, wherein a direction of optical coupling between the first waveguide and the microresonator is perpendicular to the surface of the first substrate.
- 12. (original) A device as recited in claim 1, wherein the first waveguide is an optical fiber.
- 13. (original) A device as recited in claim 12, wherein the optical fiber is a tapered optical fiber.
- 14. (original) A device as recited in claim 1, wherein the first waveguide is a planar waveguide.
- 15. (original) A device as recited in claim 1, wherein the first waveguide is a channel waveguide.
- 16. (original) A device as recited in claim 1, wherein the microresonator is microsphere.
- 17. (original) A device as recited in claim 1, further comprising an adhesive material disposed to hold the microresonator to the self-aligning feature.

Case No.: 58391US002

Application No.: 10/685049

18. (original) A device as recited in claim 1, further comprising at least one retaining member disposed to retain the microresonator at a desired location relative to the self-aligning feature.

- 19. (original) A device as recited in claim 1, further comprising a second substrate and a second waveguide disposed relative to the second substrate, the second waveguide being optically coupled to the microresonator.
- 20. (original) A device as recited in claim 1, further comprising a light source generating light, the light being coupled to the first waveguide and from the first waveguide to the microresonator.
- 21. (original) A device as recited in claim 20, further comprising a light detector optically coupled to detect light from the microresonator.
- 22. (original) A device as recited in claim 20, wherein the light detector is coupled to receive light from the microresonator via the first waveguide.
- 23. (original) A device as recited in claim 1, wherein the microresonator further comprises an optical gain medium.
- 24. (original) A device as recited in claim 1, further comprising a second waveguide disposed relative to the first substrate, the second waveguide being optically coupled to the first microresonator.
- 25. (original) A device as recited in claim 1, further comprising a second substrate disposed proximate the first substrate.

Case No.: 58391US002

Application No.: 10/685049

26. (original) A device as recited in claim 25, further comprising a second waveguide disposed relative to one of the first and second substrates, the second waveguide being optically coupled to the first microresonator.

- 27. (original) A device as recited in claim 26, wherein the first waveguide is attached to the first substrate and the second waveguide is attached to the second substrate.
- 28. (currently amended) A method of making a microresonator optical device, comprising:

 providing at least one self-aligning feature on a first substrate;

 providing a first waveguide; and

 positioning a microresonator, using the at least one self-aligning feature, so that the

 microresonator is in an optically coupling relationship with the first waveguide in a coupling

 region, the first waveguide having a larger cladding index on the coupling region side than on an

 opposite side.
- 29. (original) A method as recited in claim 28, wherein providing the at least one selfaligning feature on the first substrate comprises forming a receiving cavity on a surface of the substrate and positioning the microresonator comprises positioning the microresonator in the cavity.
- 30. (original) A method as recited in claim 28, wherein providing the at least one self-aligning feature on the first substrate comprises forming a slot on a surface of the first substrate.
- 31. (original) A method as recited in claim 30, wherein providing the first waveguide comprises providing the first waveguide in the slot.
- 32. (original) A method as recited in claim 30, wherein forming the slot comprises forming a slot edge non-parallel with the first waveguide.

Application No.: 10/685049 Case No.: 58391US002

33. (original) A method as recited in claim 28, wherein providing the at least one self-aligning feature comprises etching the at least one self-aligning feature in a surface of the substrate.

- 34. (original) A method as recited in claim 28, further comprising optically coupling light between the first waveguide and the microresonator in a direction parallel to a major surface of the substrate.
- 35. (original) A method as recited in claim 28, further comprising optically coupling light between the first waveguide and the microresonator in a direction perpendicular to a major surface of the substrate.
- 36. (original) A method as recited in claim 28, further comprising adhering the microresonator to the first substrate to hold the microresonator in a fixed relationship relative to the self-aligning structure.
- 37. (original) A method as recited in claim 28, further comprising fixing the microresonator at a desired location relative to the self-aligning element with at least one retaining member.
- 38. (original) A method as recited in claim 28, further comprising providing a second substrate and a second waveguide disposed relative to the second substrate, and optically coupling light between the microresonator and the second waveguide.